

**Monitoring and Oversight**

**Workforce Innovation and Opportunity Act (WIOA)**

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**WIOA Monitoring and Data Validation Schedule**

**July 1, 2023 – June 30, 2024**

As the WIOA Title I, WIOA Governor Set-Aside, and Wagner-Peyser grant recipient for the State of Nebraska, the Governor is required to oversee and monitor the activities of Nebraska’s WIOA subrecipients to ensure that WIOA subawards are used for authorized purposes in compliance with Federal laws, regulations, rules, and guidance; terms and conditions of subaward agreements; and applicable State policies.<sup>1</sup> NDOL’s State Monitoring Unit (SMU) meets this requirement, on behalf of the Governor, by conducting annual monitoring of Nebraska’s WIOA subrecipients in accordance with the USDOL Core Monitoring Guide, which is accessible on the [WIOA Manuals, Plans, and Reports](#) page under the *WIOA Monitoring and Oversight* section, as well as:

- WIOA and its implementing rules, regulations and guidance;
- other applicable Federal laws, rules, regulations, and guidance; and
- applicable State policies.

NDOL’s annual WIOA monitoring events involve monitoring based on three core monitoring activities, which are defined in the Core Monitoring Guide.

- Core activity 1. Service design and delivery.
  - Core activity 1 addresses service design and delivery that guides and supports grant subrecipient strategy for accomplishing the goals of the grant subaward. It also addresses the systems, procedures, and program operational elements that are essential to the effective delivery of participant and employer services and the development of a subaward delivery product, such as a regional or local plan.
- Core activity 2. Grant operations.

<sup>1</sup> WIOA Sec. 184(a)(4); 2 CFR §§ 200.303(c), 200.329(a), 200.332(b), and 2 CFR § 200.332(d)

- Core activity 2 addresses grant operations supporting successful administration of subawards. Programmatic and fiscal operations under subawards support effective management of subrecipient activities required pursuant to WIOA and its implementing rules, regulations and guidance; other applicable Federal laws, rules, regulations, and guidance; terms and conditions of subaward agreements with the subrecipient; and applicable State policies.
- Core activity 3. Financial Management.
  - Core activity 3 addresses the financial management systems and funds used by subrecipients to administer subawards in a manner that promotes transparency and accountability and in compliance with the requirements of WIOA and its implementing rules, regulations and guidance; other applicable Federal laws, rules, regulations, and guidance; terms and conditions of subaward agreements with the subrecipient; and applicable State policies.

In addition to annual comprehensive monitoring, NDOL is also required to validate data included in its annual Title I quantitative performance reports to the US Department of Labor to ensure data is valid and reliable.<sup>2</sup> In addition to system-automated data validation performed on quarterly and annual bases within NEworks,<sup>3</sup> NDOL's Reemployment Services Division annually conducts manual data validation to ensure that program services and activities recorded by Nebraska's Title I program subrecipients are supported by acceptable source documentation. Data element validation is conducted in accordance with the State's records management policy.

The schedule for WIOA monitoring and manual data validation during Program Year 2023 (July 1, 2023 – June 30, 2024) is provided in Table 1.

Table 1. WIOA monitoring and Title I data validation schedule, July 1, 2023 – June 30, 2024<sup>4</sup>

Start and end dates	Purpose
July 1, 2023 to September 30, 2023	PY22 <sup>5</sup> manual data validation: Local area Title I adult, dislocated worker, and youth programs
October 1, 2023 to November 30, 2023	RISE Nebraska Reentry Program: Wagner-Peyser 10% monitoring
December 1, 2023 to February 29, 2024	Greater Lincoln Workforce Development Area: WIOA Title I comprehensive monitoring
March 1, 2024 to April 30, 2024	Greater Nebraska Workforce Development Area: WIOA Title I Youth monitoring
May 1, 2024 to June 30, 2024	Greater Omaha Workforce Development Area: WIOA Title I Dislocated Worker monitoring

If there are questions about or comments on this schedule, please contact the SMU by email at [ndol.state\\_monitor@nebraska.gov](mailto:ndol.state_monitor@nebraska.gov), copying Derek Ahrens, SMU Supervisor, at [derek.ahrens@nebraska.gov](mailto:derek.ahrens@nebraska.gov).

<sup>2</sup> WIOA Sec. 116(d)(5)

<sup>3</sup> Information on system-automated data validation processes is provided in the State's records management policy. The State's policy manual is accessible at <https://dol.nebraska.gov/ReemploymentServices/Training/WIOA/Policies>.

<sup>4</sup> This schedule is subject to revision based on business needs.

<sup>5</sup> PY22 refers to the 12-month period beginning on July 1, 2022 and ending on June 30, 2023.